

# Chapter 3

## Statistical Thermodynamics of Microstates

### 3.1 Microstates:

A state of a system shows how energy levels are occupied. For example, consider a system consists of two distinguishable particles, and this system has three energy levels  $\epsilon_0$ ,  $\epsilon_1$  and  $\epsilon_2$ . The state  $(1, 1, 0)$  of the system means there is a particle in the first energy level  $\epsilon_0$ , a particle in the second energy level  $\epsilon_1$ , and the third energy level  $\epsilon_2$  is empty. The macrostate  $(1, 1, 0)$  is divided into two microstates:  $(B, W, 0)$  and  $(W, B, 0)$ .

\* Example 1. Find the number of microstates for a state  $(2, 1, 0)$  for a system consists of three distinguishable particles.

solution

Consider a system consists of three distinguishable particles  $u$ ,  $v$ , and  $w$ . The microstates of the state  $(2, 1, 0)$  are

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$(u, w, 0), (u, w, u, 0), (u, w, u, 0)$ .

The number of microstates = 3.

Note: The number of microstates of a given state of a system is the number of ways of rearranging the distinguishable particles in this state.

\* Theorem 1. The number of microstates of the state  $(N_1, N_2, \dots, N_M)$  is

$$w = \frac{N!}{N_1! N_2! \dots N_M!}, \quad N = \sum_{i=1}^M N_i.$$

\* Proof.

The number of ways of selecting  $N_1$  particles for the first energy level from  $N$  particles is

$$w_1 = \binom{N}{N_1} = \frac{N!}{(N-N_1)! N_1!}.$$

The number of ways of selecting  $N_2$  particles for the second energy level from  $N-N_1$  particles is

$$w_2 = \binom{N-N_1}{N_2} = \frac{(N-N_1)!}{(N-N_1-N_2)! N_2!}.$$

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The number of ways of selecting  $N_{M-1}$  particles for the  $(M-1)$ th energy level is

$$\begin{aligned} \omega_{M-1} &= \binom{N - N_1 - N_2 - \dots - N_{M-2}}{N_{M-1}} \\ &= \frac{(N - N_1 - N_2 - \dots - N_{M-2})!}{(N - N_1 - N_2 - \dots - N_{M-2} - N_{M-1})! N_{M-1}!} \end{aligned}$$

The number of ways of selecting  $N_M$  particles for the  $M$ th energy level is

$$\begin{aligned} \omega_M &= \binom{N - N_1 - N_2 - \dots - N_{M-1}}{N_M} \\ &= \frac{(N - N_1 - N_2 - \dots - N_{M-1})!}{(N - N_1 - N_2 - \dots - N_{M-1} - N_M)! N_M!} \end{aligned}$$

The total number of microstates for the state  $(N_1, N_2, \dots, N_M)$  is

$$\begin{aligned} \omega &= \omega_1 \cdot \omega_2 \cdot \dots \cdot \omega_M \\ &= \frac{N!}{N_1! N_2! \dots N_M!} \end{aligned}$$

\* Example 2. Find the number of microstates for the state  $(4, 3, 2, 1, 0)$  of a system.

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\* Definition 1. If  $w_k$  is the number of microstates for the state  $k$ , then the total number of microstates of the system is

$$\Omega = \sum_k w_k$$

### 3.2 The Entropy:

\* Definition 2. The entropy is defined as

$$S = k_B \ln \Omega,$$

where  $k_B$  is the Boltzmann's constant.

\* Properties of the Entropy:

①  $\lim_{T \rightarrow 0} S = 0$

\* Proof.

As  $T \rightarrow 0^\circ\text{K}$ , all particles go to the ground state energy, and the total number of microstates  $\Omega = 1$

$$\therefore \lim_{T \rightarrow 0} S = k_B \ln 1 = 0$$

② Additive property of the entropy:

\* Proof.

Let  $\Omega_1$  and  $\Omega_2$  be the total number of microstates for two systems. If the

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Two systems are combined, then the total number of microstates:

$$\begin{aligned}\Omega_{\text{total}} &= \Omega_1 \times \Omega_2 \\ \therefore S_{\text{total}} &= k_B \ln \Omega_{\text{total}} \\ &= k_B \ln \Omega_1 + k_B \ln \Omega_2 \\ &= S_1 + S_2\end{aligned}$$

\* Example 3. Study the distribution of microstates of a system consists of three distinguishable particles, and has four energy levels  $\epsilon_i = i \epsilon$  J,  $i = 0, 1, 2, 3$ , such that the total energy  $U = 3 \epsilon$  J.

solution

As shown in the following table,  
The total number of microstates = 10  
The state (1, 1, 1, 0) is the most probable distribution.

Macrostates	Microstates				$w_k$	$P_k$
	$N_0$	$N_1$	$N_2$	$N_3$		
$(2, 0, 0, 1)$	AB			C	3	0.3
	AC			B		
	BC			A		
$(1, 1, 1, 0)$	A	B	C		6	0.6
	B	C	A			
	C	A	B			
	A	C	B			
	B	A	C			
	C	B	A			
$(0, 3, 0, 0)$		ABC			1	0.1

### 3.3 The Helmholtz Free Energy:

$$F = U - TS$$

\* Example 4. A system consists of six distinguishable particles, and has five energy levels  $E_i = i \times 10^{-20} \text{ J}$ ,  $i = 0, 1, 2, 3, 4$ , such that the internal energy  $U = 4 \times 10^{-20} \text{ J}$ .

- (1) Find the total number of microstates.  
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(2) Which macrostate minimizes the Helmholtz free energy?

Solution

Macrostates	$w_k$	$S \times 10^{-23} \text{ J/k}$	$F \times 10^{-20} \text{ J}$
(5,0,0,0,1)	6	2.47	3.26
(4,1,0,1,0)	30	4.69	2.6
(4,0,2,0,0)	15	3.74	2.88
(3,2,1,0,0)	60	5.65	2.32
(2,4,0,0,0)	15	3.74	2.88

(1) The total number of microstates:

$$\Omega = 126$$

(2) The macrostate (3, 2, 1, 0, 0) minimizes the Helmholtz free energy.