

Algal Ecology

Introduction

The eukaryotic algae are, in general, aquatic, photosynthetic organisms with a simple morphology (lacking the complex tissues of vascular plants), and with reproductive structures in which all cells form spores or gametes. Both unicellular and multicellular species exist, and they may or may not be flagellated. The distribution and abundance of algae is determined by physical factors such as light, temperature, salinity and water motion, and by biological factors such as herbivory and competition, and by complex interactions between these variables.

Harmful algae are not a homogenous group of organisms that can be classified as a taxonomic unit. In fact, algae are not even a natural taxonomic grouping. We define eukaryotic algae as organisms that have a permanent plastid, chlorophyll-a as their primary photosynthetic pigment and that lack a sterile covering of cells around the reproductive cells (Lee 1999) and “prokaryotic algae” as cyanobacteria (blue-green algae). The taxa considered in this chapter are primarily the species listed by the Intergovernmental Oceanographic Commission (IOC). To be consistent with the list, only planktonic species are included here. It is sometimes hard to delineate species that are typically

harmful from those that are not. Therefore, we use genera as the primary taxonomic unit and combine closely related species where their harmful status is uncertain. The taxonomy of harmful algae has recently been reviewed in several chapters in the volume edited by Hallegraeff et al. (2003). The present chapter focuses on molecular taxonomy, especially the relationships between observed morphological features and genetic characters. The molecular taxonomy of all harmful algae cannot be covered in this chapter, so we highlight examples from three main groups, the dinoflagellates, cyanobacteria, and diatoms. Molecular data for these organisms and other species can be retrieved from www.ncbi.nlm.nih.gov.

Analysis of molecular sequences can be used to resolve the evolutionary relationships and taxonomic position for species that have few distinct morphological characteristics. Since the pioneering work on bacteria by Woese (1987), the analysis of ribosomal RNA genes has revolutionized our understanding of the phylogeny and taxonomy of morphologically depauperate organisms. The small subunit ribosomal RNA gene (ssu rDNA) is still the most commonly used sequence for molecular taxonomy: here we will use the term 18S rDNA for the eukaryotic and 16S rDNA for the prokaryotic ssu rDNA, respectively. The sequence for the gene encoding of the large subunit ribosomal RNAs from eukaryotes is collectively called 28S rDNA here. The 28S rDNA has higher information content

than the 18S rDNA and is therefore preferred for many taxonomic studies. The 5.8S rDNA is located in the region between the 18S and 28S rDNAs. There are two apparently non-coding regions that are transcribed together with the three rRNA encoding genes; they are called intergenic transcribed spacers (ITS). The ITS regions are generally less conserved and thus allow better resolution between closely related species, or different strains in one species, than do either the 18S or the 28S rDNA, and they are often used at the population level. There are other genes or loci that can be useful in taxonomy. Comparison of protein coding genes can provide valuable information, but generally only if they are homologous genes, i.e., they share the same evolutionary history. Comparisons of different genes, and the use of different methods of analysis, can give conflicting results (see for example Taylor 2004) and some loci may be inappropriate for use as taxonomic markers, for example, genes encoding proteins under strong directional selection. When distinguishing taxa among algae, plastid gene sequences have been used less than has been the case for higher plants. The pigmentation of the plastid, however, has been used extensively to classify algae and is still considered a valid character (Daugbjerg et al. 2000; de Salas et al. 2003). One complication with using plastid sequences in one major group of harmful algae, the dinoflagellates, is the high evolutionary rate in peridinin-containing plastids (Zhang et al. 2000), which makes it

difficult to align sequences and thus to perform valid phylogenetic analyses. Another complication is that plastid sequences, e.g., both 16S rDNA and the protein-coding *psbA* gene sequences, from different species can be identical (Takishita et al. 2002).

Habitats and Adaptations (Eukaryotic Algae)

Algae have been found in almost all environments where humans have been able to explore. In extreme environments such as hot thermal springs and deserts, prokaryotic 'algae' (now classified with the bacteria) are present. Less extreme environments, e.g. snow fields, underneath polar ice, cooler hot spring waters and aerial environments, support some eukaryotic algae. Algae cope with environmental stress in many ways.

Some green algae such as *Chlamydomonas nivalis* (Chlorophyta, snow algae) produce protective pigments to shield the chloroplasts from intense sunlight. The giant kelp *Macrocystis* (a brown alga; Heterokontophyta), which can attain lengths of over 30 m, has specialized tissues for moving energy-rich compounds from the light-saturated blades to the basal parts that may lack sufficient light for photosynthesis. Even in less extreme habitats algae cope with a variety of physical stresses. In late summer in temporary ponds and small lakes desiccation may occur. Here, some members of the Volvocales (Chlorophyta) produce resistant stages, which can survive drying until water

once more accumulates. In marine waters, high intertidal species of *Porphyra* (Rhodophyta; red algae), often dry out so much that they crumble to the touch; yet, once rewetted by the incoming tide, they resume normal metabolic processes within 20-30 minutes.

Biological factors are also important determinants of algal abundance. Some tropical algae such as species of *Halimeda* (Chlorophyta) produce toxic compounds which reduce grazing by fish (Paul and van Alstyne, 1988). Many of the larger brown algae produce phloroglucinol-like compounds which deter grazing by sea urchins and chitons (Paul, 1992). Some algae are thought to have evolved complex life histories in order to avoid excessive herbivory.

For example, red algae such as *Mastocarpus* alternate between a bladed phase which produces gametes and a crustose phase producing spores. The crustose phase is more resistant to some herbivores such as chitons, but the blades are better suited for the dissemination of reproductive structures.

Marine versus Freshwater

Algae exist in freshwater and marine habitats, both in constantly submerged sites and in areas periodically covered by water. In freshwater habitats such periodic emersion may occur as a result of seasonal drought; in marine waters it is due to tidal cycles. Freshwater habitats are usually the domain of smaller algae, such

as microscopic green algae, euglenophytes, diatoms, chrysophytes and dinoflagellates; brown and red algae are rare. In contrast, in marine habitats, larger algae (seaweeds) such as brown and red algae are very common, as are the larger green algae; euglenoids, diatoms, dinoflagellates and haptophytes can also be found there. In green algae inhabiting freshwater, environmental cues such as falling water levels in a drying pond may initiate the production of soluble organic chemicals called pheromones by some individuals. These pheromones trigger the formation of reproductive structures and eventually gametes, and also attract flagellated sperm cells to the nonmotile egg. The product of sexual reproduction is often a resistant stage, a stage usually lacking in marine green algae. Euglenophytes (Euglenophyta) may occur in ponds on agricultural lands, and as sand-dwelling species on some marine beaches. Euglenophytes have 'eyespot' which, in conjunction with a light-sensitive site at the base of one of the flagella, enable them to move in response to light direction. Diatoms (Heterokontophyta) are single-celled algae with a wall of silica.

There are two distinct diatom groups:

pennate diatoms are generally symmetric about a central line and usually attached to a substratum; centric diatoms are symmetrical about a central point and usually freefloating. Diatoms mostly lack flagella but movement can occur in those pennate diatoms

that are attached to a substratum and possess a raphe. Centric diatoms are

planktonic and are a major contributor to open ocean productivity

Chrysophytes (Heterokontophyta) and dinoflagellates (Dinophyta) occur in both freshwater and marine habitats. Chrysophytes are flagellated unicells, often a golden brown colour due to pigments such as xanthophylls and carotenoids. Some are the predominant species in nutrient-poor alpine lakes. Dinoflagellates are responsible (in part or entirely) for a multitude of phenomena, including bioluminescence, red tides, shellfish poisoning and ciguatera.

Dinoflagellates also occur in corals, where they contribute significantly to their growth. The colour of dinoflagellates varies from greenish hues to shades of red, and many species lack chloroplasts. In these latter, feeding often occurs by ingesting small cells, or by liquefying the flesh of prey (see *Pfiesteria*, below). Bioluminescence occurs in some dinoflagellates (e.g. *Noctiluca* and *Pyrocystis*) by means of the same chemicals (luciferin and luciferase) used by some other organisms with bioluminescent organs, such as fish (Sweeney, 1987).

Bioluminescence may be a means of startling herbivores. Many dinoflagellates produce toxins, although the purpose of this is

unclear since the immediate consumer of the toxic cell, e.g. a filter feeder such as a clam or mussel, is not affected. As mentioned above, red algae (Rhodophyta) are rare in freshwater habitats, and common in marine ones. They have no flagella at any stage of their life history, and thus do not make use of pheromones in sexual reproduction. The life history of many red algae, with alternating gametophyte (haploid) and sporophyte (diploid) stages, is made more complex in many of its species by an additional life history stage, the carposporophyte which forms diploid spores. This is one way in which red algae apparently maximize the production of offspring whenever sexual fusion occurs. Many red algae also produce phycocolloids (e.g. carrageenans and agars) as part of their cell walls. These chemicals are complex, sulfated, long chain carbohydrates used widely in the food, chemical, and pharmaceutical industry. The function of phycocolloids in these algae is not known, but they may facilitate the retention of water during low tide, and discourage the settlement of epiphytes. Brown algae are also rare in freshwater, but often are the most visible algae in temperate and tropical seas. Brown algae lack any unicellular individuals, except for the spores and gametes. Pheromones play an important role in promoting successful sexual reproduction for some brown algae. Chemical analyses of brown algal pheromones have shown that each algal species (e.g. kelps such as species of *Laminaria* and *Alaria*) secretes several

pheromones (a 'bouquet'; Maier and Müller, 1986) which are not always species specific. Hence a sperm cell of one species may be attracted by the pheromones secreted by the egg of another species. In this case, species specificity is ensured by proteins that coat the egg, and which must be 'recognized' by the sperm cell. The haptophytes (Haptophyta) are a group of unicellular algae with two flagella and a haptonema (an extensible structure between the two flagella) which may facilitate feeding or attach the organism to a substrate. Some haptophytes form immense open ocean blooms.

Subaerial Algae

Subaerial algae may be found on leaves, tree trunks, muddy banks, on or beneath the surface of soil, and on brick walls. On walls and tree trunks these algae form dusty green streaks of colour difficult to distinguish from lichens and bryophytes. Species of the green algal family Chlorococcaceae, and specifically the genus *Chlorococcum*, are common in these habitats. Species of *Chlorococcum* are simple, round cells capable of reproducing both asexually (by zoospores) and sexually. Some species are remarkably resistant to desiccation, possibly due to their thick cell walls which form under dry conditions. A green soil alga, *Zygonium ericetorum*, produces a purple pigment which colours the soils of heaths in parts of the UK.

Extreme Environments

Despite their fragility, algae also occur in extreme environments. One green alga that thrives in highly saline habitats, e.g. salt ponds (normal salinity in seawater ranges from 2.8 to 36‰; salt ponds may even form a brine (a saturated salt solution)), is *Dunaliella salina*. This species produces both β -carotene (a yellow-orange pigment), to shield the alga from excess light, and glycerol, which counteracts the osmotic potential of the highly saline water. Industrial production of this alga occurs, for example in Australia, where both the pigment and the glycerol are extracted from cultured *Dunaliella* (Borowitzka et al., 1986). Another extreme environment is found in mine tailings, or the areas affected by the drainage from some mine sites, which may contain high concentrations of copper, cadmium, iron, etc. In addition, acids may form if the Algal Ecology tailings contain sulfated minerals; these acids in turn leach more heavy metals from the rock. Freshwater organisms in such environments suffer from both the low pH and the metals. Low pH does not affect marine waters as much, due to their buffering capacity, and heavy metals may flocculate by complexing with organic particles. One study of acid mine drainage (AMD), at Britannia Mines in British Columbia, Canada, reported that an area up to some 500 m on either side of a creek carrying the AMD was devoid of algae. Further away, some unicellular green algae appeared, then a multicellular green seaweed *Enteromorpha*,

followed by the brown seaweed *Fucus*. Transplant studies of *Fucus* from non-affected sites to sites impacted by AMD showed an increase in copper concentration from 5 500 ppm Cu (dry weight) at day 0 to 2400 ppm at day 40 (Marsden, 1999). The ability of *Enteromorpha* to tolerate some heavy metals was also noted in studies on AMD in Chile. Some algae tolerate metals by avoiding them, e.g. the diatom *Achnanthes* sp., which grows on a gelatinous stalk and hence away from such high copper content materials as antifouling paints on ships. Other diatoms, *Navicula* and *Amphora*, apparently detoxify copper internally by complexing it with organic compounds. The brown alga *Ectocarpus siliculosus* has both copper tolerant and resistant strains; the resistant strains exclude more copper than nonresistant strains.

Thermal Springs

The location and abundance of algae in thermal springs are determined predominantly by temperature and dissolved mineral gradients. Higher water temperatures (over 60°C) favour cyanobacteria, whereas eukaryotic algae such as *Cyanidium caldarium* have upper temperature limits around 55-57°C (Darley, 1982). Tolerance to such high temperatures is due in part to the high melting point of algal membrane lipids, and the increased thermal stability of algal proteins. Diatoms also occur in hot springs, especially at temperatures between 30 and 40°C;

Achnanthes exigua has a temperature maximum of 44°C, and a minimum at 10°C. At lower temperatures (20–30°C) the green alga *Zygonium* may form purple bands of colour (due to an iron-tannin complex stored in vacuoles) in some springs. This species also prefers acid waters, tolerating a pH from 1 to 5.

Snow and Ice

Some algae make their home on snow and ice. Patches of red, orange, yellow and green colours on alpine snow are often caused by algae such as *Chlamydomonas nivalis*, and species of *Chloromonas* and *Chlainomonas*, all green algae (Hoham, 1980) growing in the meltwater on top of snow or ice. Similar to their salt-tolerant relative *Dunaliella*, many of these algae produce carotenoid pigments, e.g. *astaxanthin*, reducing photodegradation of the chlorophyll pigments. Snow algae are present as dormant zygotes for most of the year and only reproduce sexually in the meltwater. *C. nivalis* has a growth optimum at 5–10°C, and can photosynthesize at 0°C.

Zonation

Zonation refers to the existence of zones of organisms in marine intertidal and subtidal environments. Zonation is sometimes obvious in intertidal habitats, but often much less so subtidally. Various theories have been proposed to account for zonation, ranging from physical causes such as 'tide factors', to biological ones such as herbivory. The tide factor hypothesis, and its

variants, proposes that zonation results from differential tolerances of marine organisms to desiccation and temperature, generated by the rise and fall of the tides. Tidal patterns differ around the world, and can be diurnal, semidiurnal or mixed semidiurnal. Since the extent of the intertidal area covered by any tide can vary from day to day, especially where mixed semidiurnal tides occur (as in the Eastern Pacific), any given elevation may be exposed to air from minutes to hours on different days, and from hours to weeks over a month. Thus, elevations only a few centimetres higher than another site could be subjected to additional hours of exposure to air over a 24-hour period. However, the correlation between such 'breaks' in times of air exposure and actual zonal boundaries is poor. Factors such as competition and herbivory have also been proposed to account for zonation. Since biological diversity increases in the lower intertidal zone (compared to the higher intertidal) biological factors may increase in importance in lower elevation sites. Experiments manipulating the numbers of herbivores or predators have shown that some of these have a significant impact on the extent of a particular zone. For example, removal of *Pisaster*, a predatory starfish, results in extending the lower limit of the zone of mussels (*Mytilus californianus*); the mussels in turn overgrow the algae, thus lowering the upper extent of the algal zone. The physiological properties of algae clearly play a central role in their tolerance to desiccation. The ability of some

Porphyra species to tolerate extreme desiccation has already been mentioned. A green alga, *Prasiolameri dionalis*, which exists higher in the intertidal zone than Porphyra, can tolerate days of desiccation and high temperatures. Some species, e.g. *Fucus gardneri*, brown seaweed found high in the intertidal zone, can photosynthesize in air (Quadir et al., 1979), although eventually nutrient stores are depleted, as these cannot be obtained from air. For some desiccated algae (e.g. *Fucus*, *Ulva* and *Gracilaria*), it has been found that nutrient uptake rates upon reimmersion in seawater are positively related to increasing elevation in the intertidal zone (Thomas et al., 1987). Thus, some higher elevation algae not only can have a positive net photosynthesis in air but also are capable of replenishing nutrient pools quickly. Subtidal zonation has also been described. The cause of zonation here has been attributed to at least two possible factors: the change in the composition of available light and the change in light intensity with increased depth. Because of the materials dissolved in seawater, red light is generally absorbed within the first few metres from the surface; blue light penetrates deepest. Since the different groups of algae have different pigments and thus utilize different portions of the visible spectrum optimally, one theory explained the alleged deep occurrence of red algae by their ability to make use of blue light, and the apparent absence of green algae at such depths by their inability to utilize this part of the spectrum as

efficiently. The second theory attributed the presence of algae at deeper depths to their ability to simply absorb light, i.e. some algae were argued to function better or worse as a 'black body'. More recent experiments have supported the latter theory (Ramus, 1983). That light absorption capacity controls depth of occurrence was given further credence by more detailed studies of deep water collections; there is a much less clear pattern of zonation by pigment group than had been alleged, and deep algae can be green, red or brown.

Intertidal zone

The abundance and distribution of intertidal algae are determined by a mix of physical and biological factors, and by the physiological properties of the individual species of algae. Some of the physical factors that are important in this respect have been mentioned above, e.g. desiccation, temperature and salinity. Higher temperatures (27]30°C) can result in higher rates of respiration and a breakdown of photosynthetic mechanisms. Specifics depend of course on the individual species. Both increased and decreased salinity (relative to the normal range the species encounters, usually 25]36‰) also results in increased rates of respiration. Thus, the combination of higher temperatures and lower salinity can be particularly stressful to marine algae. Another physical factor is the effect of wave impact on seaweed distribution. The relationship between

the drag and acceleration forces generated by moving water, and seaweed's morphology, physical strength and the force of attachment, has been shown to affect thallus shape, surface area and abundance. Some seaweeds cope with the impact of increased water movement by reducing surface area, and increasing elasticity; for example, a species with wide blades, *Mazaella splendens* (a red seaweed), was replaced by a closely related species with narrower blades, *M. linearis*, in sites of relatively higher wave impact (Shaughnessy et al., 1996).

Subtidal zone Some of the same biological factors that influence the abundance and distribution of intertidal seaweeds also act in the subtidal zone, e.g. competition and herbivory. Competition may occur for substrate and light; common herbivores in the subtidal zone are sea urchins and, in tropical seas, also herbivorous fish. Sea urchin food preference studies have shown an avoidance of some algae, and a preference for others. Avoidance is usually attributed to comparative toughness and to unpalatable chemicals. For example, temperate water genera of brown algae such as *Agarum* and *Laminaria*, and tropical green seaweeds such as *Halimeda*, produce compounds (usually *phlorotannins* in brown algae and other complex organic compounds in *Halimeda*) which are strong deterrents to herbivores (Paul and van Alstyne, 1988). Some of these algae are recognized by fish as unpalatable; this benefits adjacent palatable species of algae which are also avoided by grazing fish;

an example of a positive interaction. Extensive studies of *Halimeda* have shown that this species has a mix of stored antiherbivore compounds, and that the act of herbivory can result in the conversion of a less toxic compound into a more toxic one. It is not clear whether herbivory itself induces the formation of antiherbivore compounds. Studies on *Fucus* indicate that such compounds do form in response to herbivory (van Alstyne, 1988), but similar studies using other algae have not found this to be the case (Steinberg, 1994). As already indicated, different physical factors differ in ecological importance in the intertidal and subtidal zones. Desiccation is absent in the subtidal, and the marked variations in salinity and temperature that can occur in the intertidal zone are much less likely to occur subtidally. Light plays a role in limiting the depth at which different species of algae occur in the subtidal, and excess light limits some intertidal seaweeds. In the shallow subtidal, wave action is important, as it is in the intertidal zone.